

Superintendencia del Mercado de Valores
Asesores de Inversión
Licencias Vigentes Otorgadas

Actualizado al 31 de mayo 2024

No.	Nombre de Asesor de Inversión	Fecha de Resolución	No. Resolución
1	UBS ASESORES, S.A.	01-ago-01	CNV-316-2001
2	YORK INVESTMENT MANAGEMENT, S.A.	30-abr-02	CNV-182-02
3	NORDIA INVESTMENT ADVISORS, S.A.	05-jun-07	CNV-146-07
4	SUMMA ASSET MANAGEMENT CORP.	08-abr-08	CNV-90-08
5	K&B FINANCIAL GROUP, INC.	29-abr-08	CNV-110-08
6	KAPITAL INVESTMENTS MANAGERS, INC.	27-nov-08	CNV-371-08
7	BRB FINANZ A.G.	05-jun-09	CNV-170-09
8	IAM ASESORES, S.A.	24-ago-10	CNV-317-10
9	ASESORÍA E INVERSIONES AAB, S.A. (ASINSA)	27-ago-10	CNV-329-10
10	BCP ADVISORS, S.A.	12-nov-10	CNV-471-10
11	SP CAPITAL INTERNATIONAL, S.A.	11-mar-11	CNV-63-11
12	FINEC ASSET MANAGEMENT, CORP.	11-mar-11	CNV-65-11
13	INCREMENTUM PANAMA, S.A.	11-mar-11	CNV-61-2011
14	GRUPO GTA PANAMA, S.A.	18-mar-11	CNV-70-2011
15	KAUFMAN INVEST & TRADE INC.	06-may-11	CNV-147-2011
16	WS INVESTMENT GROUP PANAMA, S.A.	04-oct-11	CNV-350-11
17	QUANTUM ADVISORS, INC	22 -jun-12	SMV-204-2012
18	TRUSTED ADVISORS, INC.	28 -jun-12	SMV-216-2012
19	GSM Partners, S.A.	20 -ago-12	SMV-279-2012
20	VT WEALTH MANAGEMENT PANAMA,S.A.	15-mar-13	SMV-106-2013
21	HARBOR FN CORP	29-jul-13	SMV-280-2013

22	CHF ADVISORS LTD, S.A.	02-sep-13	SMV-343-2013
23	EVEREST CAPITAL PARTNERS INTERNATIONAL INC.	10-sep-13	SMV-349-2013
24	EVEREST CAPITAL PARTNERS PANAMA INC	10-sep-13	SMV-350-2013
25	LOMBARD ODIER (PANAMA), INC *	30-dic-13	SMV-528-2013
26	LW ASESORES DE INVERSIONES, S.A.	03-feb-14	SMV-47-2014
27	ATIVA Capital,S.A.	28-mar-14	SMV-155-2014
28	PRIVATAM INC.*	07-may-14	SMV-220-2014
29	PRAMADDEX CORPORATION PANAMA	27-may-14	SMV-253-2014
30	AURORA ASSET MANAGEMENT, S.A.	30-may-14	SMV-279-2014
31	CAUDAL CAPITAL, S.A.	25-mar-15	SMV-165-2015
32	SQUADRA MULTI FAMILY OFFICE-ASESOR DE INVERSIONES CORP.	11-sep-15	SMV-580-2015
33	GESTI PROJECT, S.A.	30-dic-15	SMV-811-2015
34	DOMENICO INVESTMENT ADVISORS, INC.	22-ene-16	SMV-30-2016
35	PRIVATE INVESTMENT MANAGEMENT ADVISORS PANAMÁ, S.A	06-feb-17	SMV-463-2016
36	ATHENA PARTNERS GROUP INC.	09-mar-18	SMV-113-2018
37	WYNN GLOBAL, INC.	09-oct-18	SMV-461-2018
38	ACTIADVISORS,CORP.	16-ene-19	SMV-10-2019
39	AIS FINANCIAL GROUP (PANAMA), S.A.	13-dic-19	SMV-552-2019
40	CI ASSOCIATES WEALTH MANAGEMENT,S.A.	01-jun-20	SMV-247-2020
41	INTELIGO BANK,LTD	18-jun-21	SMV-317-2021
42	VITE CAPITAL INTERNATIONAL, S.A.	22-jul-21	SMV-356-2021
43	ISP FINANCIAL SERVICES(PANAMA),S.A. *	29-oct-21	SMV-486-2021
44	PKB WEALTH MANAGEMENT,S.A.	08-nov-21	SMV-504-2021
45	BHD INTERNATIONAL BANK (PANAMÁ), S.A.	21-may-22	SMV-270-2022
46	SUNPARTNERS ASESORES DE INVERSIÓN PANAMÁ.S.A.	13-oct-22	SMV-343-2022
47	THE BRICKELL FINANCIAL ADVISOR, S.A.	09-feb-23	SMV-45-2023
48	PRIME MANAGEMENT CONSULTANTS INTL. CORP.	20-mar-23	SMV-95-2023

49	INDIGO WEALTH MANAGEMENT, S.A.	20-mar-23	SMV- 93-2023
50	ZENTRAL FAMILY OFFICE, S.A.	24-mar-23	SMV-105-2023
51	OCTOGONE ASESORES (PANAMÁ) S.DE R.L.	12-jul-23	SMV-274-2023
52	EFG ASESORES (PANAMA), S.A.	11-ago-23	SMV-316-2023

* En proceso de Liquidación voluntaria