

**Superintendencia del Mercado de Valores**  
**Asesores de Inversión**  
**Licencias Vigentes Otorgadas**

**Actualizado al 31 de octubre 2024**

| <b>No.</b> | <b>Nombre de Asesor de Inversión</b>      | <b>Fecha de Resolución</b> | <b>No. Resolución</b> |
|------------|---|----------------------------|-----------------------|
| 1          | UBS ASESORES, S.A.                        | 01-ago-01                  | CNV-316-2001          |
| 2          | YORK INVESTMENT MANAGEMENT, S.A.          | 30-abr-02                  | CNV-182-02            |
| 3          | NORDIA INVESTMENT ADVISORS, S.A.          | 05-jun-07                  | CNV-146-07            |
| 4          | SUMMA ASSET MANAGEMENT CORP.              | 08-abr-08                  | CNV-90-08             |
| 5          | K&B FINANCIAL GROUP, INC.                 | 29-abr-08                  | CNV-110-08            |
| 6          | KAPITAL INVESTMENTS MANAGERS, INC.        | 27-nov-08                  | CNV-371-08            |
| 7          | BRB FINANZ A.G.                           | 05-jun-09                  | CNV-170-09            |
| 8          | IAM ASESORES, S.A.                        | 24-ago-10                  | CNV-317-10            |
| 9          | ASESORÍA E INVERSIONES AAB, S.A. (ASINSA) | 27-ago-10                  | CNV-329-10            |
| 10         | BCP ADVISORS, S.A.                        | 12-nov-10                  | CNV-471-10            |
| 11         | SP CAPITAL INTERNATIONAL, S.A.            | 11-mar-11                  | CNV-63-11             |
| 12         | FINEC ASSET MANAGEMENT, CORP.             | 11-mar-11                  | CNV-65-11             |
| 13         | INCREMENTUM PANAMA, S.A.                  | 11-mar-11                  | CNV-61-2011           |
| 14         | GRUPO GTA PANAMA, S.A.                    | 18-mar-11                  | CNV-70-2011           |
| 15         | KAUFMAN INVEST & TRADE INC.               | 06-may-11                  | CNV-147-2011          |
| 16         | WS INVESTMENT GROUP PANAMA, S.A.          | 04-oct-11                  | CNV-350-11            |
| 17         | QUANTUM ADVISORS, INC                     | 22 -jun-12                 | SMV-204-2012          |
| 18         | TRUSTED ADVISORS, INC.                    | 28 -jun-12                 | SMV-216-2012          |
| 19         | GSM Partners, S.A.                        | 20 -ago-12                 | SMV-279-2012          |
| 20         | HARBOR FN CORP                            | 29-jul-13                  | SMV-280-2013          |
| 21         | CHF ADVISORS LTD, S.A.                    | 02-sep-13                  | SMV-343-2013          |

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| 22 | EVEREST CAPITAL PARTNERS INTERNATIONAL INC.             | 10-sep-13 | SMV-349-2013 |
| 23 | EVEREST CAPITAL PARTNERS PANAMA INC                     | 10-sep-13 | SMV-350-2013 |
| 24 | LW ASESORES DE INVERSIONES, S.A.                        | 03-feb-14 | SMV-47-2014  |
| 25 | ATIVA Capital,S.A.                                      | 28-mar-14 | SMV-155-2014 |
| 26 | PRAMADEX CORPORATION PANAMA                             | 27-may-14 | SMV-253-2014 |
| 27 | AURORA ASSET MANAGEMENT, S.A.                           | 30-may-14 | SMV-279-2014 |
| 28 | CAUDAL CAPITAL, S.A.                                    | 25-mar-15 | SMV-165-2015 |
| 29 | SQUADRA MULTI FAMILY OFFICE-ASESOR DE INVERSIONES CORP. | 11-sep-15 | SMV-580-2015 |
| 30 | GESTI PROJECT, S.A.                                     | 30-dic-15 | SMV-811-2015 |
| 31 | DOMENICO INVESTMENT ADVISORS, INC.                      | 22-ene-16 | SMV-30-2016  |
| 32 | PRIVATE INVESTMENT MANAGEMENT ADVISORS PANAMÁ, S.A      | 06-feb-17 | SMV-463-2016 |
| 33 | ATHENA PARTNERS GROUP INC.                              | 09-mar-18 | SMV-113-2018 |
| 34 | WYNN GLOBAL, INC.                                       | 09-oct-18 | SMV-461-2018 |
| 35 | ACTIADVISORS,CORP.                                      | 16-ene-19 | SMV-10-2019  |
| 36 | AIS FINANCIAL GROUP (PANAMA), S.A.                      | 13-dic-19 | SMV-552-2019 |
| 37 | CI ASSOCIATES WEALTH MANAGEMENT,S.A.                    | 01-jun-20 | SMV-247-2020 |
| 38 | INTELIGO BANK,LTD                                       | 18-jun-21 | SMV-317-2021 |
| 39 | VITE CAPITAL INTERNATIONAL, S.A.                        | 22-jul-21 | SMV-356-2021 |
| 40 | ISP FINANCIAL SERVICES(PANAMA),S.A. *                   | 29-oct-21 | SMV-486-2021 |
| 41 | PKB WEALTH MANAGEMENT,S.A.                              | 08-nov-21 | SMV-504-2021 |
| 42 | BHD INTERNATIONAL BANK (PANAMÁ), S.A.                   | 21-may-22 | SMV-270-2022 |
| 43 | SUNPARTNERS ASESORES DE INVERSIÓN PANAMÁ.S.A.           | 13-oct-22 | SMV-343-2022 |
| 44 | THE BRICKELL FINANCIAL ADVISOR, S.A.                    | 09-feb-23 | SMV-45-2023  |
| 45 | PRIME MANAGEMENT CONSULTANTS INTL. CORP.                | 20-mar-23 | SMV-95-2023  |
| 46 | INDIGO WEALTH MANAGEMENT, S.A.                          | 20-mar-23 | SMV- 93-2023 |
| 47 | ZENTRAL FAMILY OFFICE, S.A.                             | 24-mar-23 | SMV-105-2023 |
| 48 | OCTOGONE ASESORES (PANAMÁ) S.DE R.L.                    | 12-jul-23 | SMV-274-2023 |

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| 49 | EFG ASESORES (PANAMA), S.A. | 11-ago-23 | SMV-316-2023 |
| 50 | AMES CAPITAL ADVISORS INC.  | 14-oct-24 | SMV-368-2024 |

\* En proceso de Liquidación voluntaria